

SECURITIES LITIGATION COMMITTEE MEETING

THURSDAY, SEPTEMBER 19, 2024, 1:00 P.M.

VIRTUAL ONLY

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AGENDA

- I. **CALL TO ORDER AND ACCEPTANCE OF AGENDA – (Committee Action)**
- II. **ACCEPTANCE OF MINUTES (MAY 2, 2024) – (Committee Action)**
- III. **ELECTION OF CHAIR – (Committee Action)**
- IV. **GOVERNANCE (10 minutes) – (Board Action)**
 - A. Securities Litigation Committee Charter Review – Ms. Murtha
- V. **SECURITIES LITIGATION CASE UPDATES¹ (50 minutes) – (Information)**
 - A. RKS (PG&E) – Mr. Frank Catalina
 - B. Kessler Topaz Meltzer & Check (Nissan) – Ms. Emily Christiansen
 - C. DiCello Levitt (Daimler) – Ms. Caroline Robert or Roxanna
 - D. Grant & Eisenhofer (Volkswagen and Danske Bank) – Mr. Jonathan Davenport
- VI. **LEGAL OPPORTUNITIES DISCUSSION (5 minutes) – Ms. Murtha (Information)**
- VII. **REPORTS (10 minutes) – (Information)**
 - A. Securities Litigation Monitoring Report – Mr. Skor
- VIII. **UPCOMING MEETINGS**
 - A. December 5, 2024, 9:00 AM CT (Tentative)
 - B. March 18, 2025, 10:00 AM CT
 - C. June 17, 2025, 10:00 AM CT (Tentative)
- IX. **ADJOURNMENT**

¹ Executive Session pursuant to N.D.C.C. 44-04-17.1(4), 44-04-19.1, and 44-04-19.2 for confidential and privileged attorney client consultation.

**NORTH DAKOTA STATE INVESTMENT BOARD
SECURITIES LITIGATION COMMITTEE
MINUTES OF THE MAY 2, 2024, MEETING**

MEMBERS PRESENT: Joseph Heringer, Commissioner of Univ. & School Lands, Chair
Art Thompson, Director of WSI

MEMBERS ABSENT: Joe Morrissette, PERS Board

STAFF PRESENT: Scott Anderson, Chief Investment Officer
Jan Murtha, Executive Director
Emmalee Riegler, Contracts/Records Admin.
Ryan Skor, CFO/COO

GUESTS: Nate Bear, DiCello Levitt
Michael Blatchley, BLBG
Frank Catalina, RKS
Emily Christiansen, Kessler Topaz Meltzer & Check LLP
Dean DePountis, Attorney General's Office
Jon Davenport, Grant & Eisenhofer
Ruby Menon, Robbins Geller
Danielle Myers, Robbins Geller
Roxana Pierce, DiCello Levitt
Hannah Ross, BLBG

CALL TO ORDER:

Commissioner Heringer called the State Investment Board (SIB) Securities Litigation Committee (SLC) special meeting to order at 1:03 p.m. on Thursday, May 2, 2024. The meeting was held virtually.

AGENDA:

COMMISSIONER HERINGER CALLED FOR AND IT WAS CARRIED BY A VOICE VOTE TO APPROVE THE AGENDA FOR THE MAY 2, 2024, MEETING.

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

ABSENT: MR. MORRISSETTE

MOTION CARRIED

MINUTES:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ACCEPT THE MINUTES OF THE DECEMBER 5, 2023, AND DECEMBER 8, 2023, MEETINGS AS DISTRIBUTED.

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

ABSENT: MR. MORRISSETTE

MOTION CARRIED

SECURITIES LITIGATION CASE UPDATES:

RKS (PG&E):

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION FOR CONFIDENTIAL AND PRIVIEGED ATTORNEY CLIENT CONSULTATION PURSUANT TO NDCC 44-04-17.1(4), NDCC 44-04-19.1, AND NDCC 44-04-19.2.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
ABSENT: MR. MORRISSETTE
MOTION CARRIED**

The executive session started at 1:06 p.m. and ended at 1:20 p.m. and was attended by Committee members, staff, Mr. Catalina, and Mr. DePountis.

Kessler Topaz Meltzer & Check (Nissan):

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION FOR CONFIDENTIAL AND PRIVIEGED ATTORNEY CLIENT CONSULTATION PURSUANT TO NDCC 44-04-17.1(4), NDCC 44-04-19.1, AND NDCC 44-04-19.2.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
ABSENT: MR. MORRISSETTE
MOTION CARRIED**

The executive session started at 1:21 p.m. and ended at 1:24 p.m. and was attended by Committee members, staff, Ms. Christianson, and Mr. DePountis.

DiCello Levitt (Daimler):

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION FOR CONFIDENTIAL AND PRIVIEGED ATTORNEY CLIENT CONSULTATION PURSUANT TO NDCC 44-04-17.1(4), NDCC 44-04-19.1, AND NDCC 44-04-19.2.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
ABSENT: MR. MORRISSETTE
MOTION CARRIED**

The executive session started at 1:26 p.m. and ended at 1:31 p.m. and was attended by Committee members, staff, Mr. Bear, Mr. DePountis, and Ms. Pierce.

Grant & Eisenhofer (Volkswagen and Danske Bank):

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION FOR CONFIDENTIAL AND PRIVIEGED ATTORNEY CLIENT CONSULTATION PURSUANT TO NDCC 44-04-17.1(4), NDCC 44-04-19.1, AND NDCC 44-04-19.2.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
ABSENT: MR. MORRISSETTE
MOTION CARRIED**

The executive session started at 1:33 p.m. and ended at 1:40 p.m. and was attended by Committee members, staff, Mr. Davenport, and Mr. DePountis.

SECURITIES LITIGATION MONITORING REPORT:

Mr. Skor reviewed the most recent status report from the monitoring firm FRT. The Committee was provided with a Status Report for the period from March 1, 2024, through March 31, 2024. The report summarizes security litigation activities including newly filed claims, status of previously filed claims, and a summary of the total net recoveries for the period. Committee discussion followed.

UPCOMING MEETINGS:

Securities Litigation Committee Meeting Schedule – FY24:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ACCEPT THE 2024-25 SECURITIES LITIGATION COMMITTEE SCHEDULE.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
ABSENT: MR. MORRISSETTE
MOTION CARRIED**

The Committee recessed at 1:45 p.m. and reconvened at 2:00 p.m.

SECURITIES LITIGATION MONITORING UPDATES:

Ms. Murtha provided a brief overview. Staff does not anticipate offering routine updates from the securities litigation monitoring firms, however, given the newness of the relationships, staff wanted to provide an initial update to the Committee.

BLBG:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION FOR CONFIDENTIAL AND PRIVIEGED ATTORNEY CLIENT CONSULTATION PURSUANT TO NDCC 44-04-17.1(4), NDCC 44-04-19.1, AND NDCC 44-04-19.2.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
ABSENT: MR. MORRISSETTE
MOTION CARRIED**

The executive session started at 2:02 p.m. and ended at 2:31 p.m. and was attended by Committee members, staff, Mr. Blatchley, Mr. DePountis, and Ms. Ross.

Robbins Geller:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION FOR CONFIDENTIAL AND PRIVILEGED ATTORNEY CLIENT CONSULTATION PURSUANT TO NDCC 44-04-17.1(4), NDCC 44-04-19.1, AND NDCC 44-04-19.2.

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

ABSENT: MR. MORRISSETTE

MOTION CARRIED

The executive session started at 2:33 p.m. and ended at 2:51 p.m. and was attended by Committee members, staff, Mr. DePountis, Ms. Menon, and Ms. Myers.

ADJOURNMENT:

With no further business to come before the SLC, Commissioner Heringer adjourned the meeting at 2:52 p.m.

Prepared by,

Emmalee Riegler
Assistant to the Board

MEMORANDUM

TO: SIB Securities Litigation Committee
FROM: Jan Murtha, Executive Director
DATE: September 17, 2024
RE: Election of Chair

The Securities Litigation Charter Section III. Composition requires election of the Chair each fiscal year. The relevant charter language is provided below:

III. COMPOSITION

The Committee will consist of three members of the SIB appointed by the Chair.

Membership on the Committee will be for one year or termination of term on the SIB. Vacancies will be filled by the SIB Chair at the first scheduled meeting following the vacancy. There will be no limit to the number of terms served on the Committee.

The Committee will elect a Chair. The Chair will preside at all meetings of the Committee and serve as the liaison to the SIB. In the absence of, or at the direction of the Chair, the Executive Director will report committee actions. The liaison will report quarterly to the SIB, or as often as the committee shall meet, on the activities of the Committee and other pertinent information.

Current Committee Members are:

Commissioner Heringer (Chair)

Director Thompson

Professor Morissette

Committee Action Requested: Committee to elect Chair.

MEMORANDUM

TO: SIB Securities Litigation Committee
FROM: Jan Murtha, Executive Director
DATE: September 17, 2024
RE: Review Committee Charter

The Securities Litigation Charter Section V. Responsibilities requires the that the committee review its charter on an annual basis and recommend changes as needed as set forth below:

V. Responsibilities

- Review and assess the adequacy of the Committee charter annually, requesting the SIB approval for proposed changes.
- Confirm annually the review of all responsibilities outlined in this charter.

Director Murtha will review the charter with the committee. The delegation of duties from the SIB to the committee is also found in Section V. N. in the SIB Governance Manual.

Committee Action Requested: Motion to Accept Review of Charter.

**CHARTER OF THE
SECURITIES LITIGATION COMMITTEE OF THE
NORTH DAKOTA STATE INVESTMENT BOARD**

I. PURPOSE

The Securities Litigation Committee (the Committee) is a standing committee of the North Dakota State Investment Board (SIB) created to assist in fulfilling its fiduciary oversight responsibilities of monitoring the investment of assets entrusted to it by the various statutory and contracted funds, and to serve as a communications link for the SIB, RIO's management and staff, third party securities litigation firms, and others.

The Committee will determine when an active role should be pursued in regards to securities litigation affecting securities within the SIB's portfolios.

II. AUTHORITY

The Committee is authorized to:

- draft policy (to be formally approved by SIB) regarding dollar and/or risk thresholds for determining when to opt-out of class actions and/or seek direct litigation or lead plaintiff status;
- based on SIB approved policy, make decisions on the level of participation the SIB will take in direct litigation, opt-in or group litigation, anti-trust and other class actions; and
- approve the selection of special assistant attorneys in cases of direct litigation.

III. COMPOSITION

The Committee will consist of three members of the SIB appointed by the Chair.

Membership on the Committee will be for one year or termination of term on the SIB. Vacancies will be filled by the SIB Chair at the first scheduled meeting following the vacancy. There will be no limit to the number of terms served on the Committee.

The Committee will elect a Chair. The Chair will preside at all meetings of the Committee and serve as the liaison to the SIB. In the absence of, or at the direction of the Chair, the Executive Director will report committee actions. The liaison will report quarterly to the SIB, or as often as the committee shall meet, on the activities of the Committee and other pertinent information.

IV. MEETINGS

The Committee will meet quarterly, with authority to convene additional or reduce meetings, as circumstances require to adequately fulfill all the obligations and duties as outlined in this charter.

Meeting agendas will be prepared by the Executive Director and approved by the Committee Chair, unless otherwise directed by the Committee and will be provided to the Committee members along with briefing materials before the scheduled committee meeting.

Committee members are expected to attend each meeting, in person or virtually. RIO's executive management and others necessary to provide information and to conduct business will attend meetings. The Committee may invite staff of RIO or others to attend meetings, as necessary. The Committee may hold executive sessions as allowed under state law.

V. RESPONSIBILITIES

RIO's management is responsible for ongoing monitoring of securities litigation and claims filing. Based on SIB approved policy guidelines, the Committee has the responsibility to provide oversight in the areas of:

- policy development
- determination on direct litigation and/or lead plaintiff status
- approval of special assistant attorneys (outside counsel)

To this end, the Committee will:

- Develop initial policy and periodically review policy to determine if changes are needed.
- Review reports from RIO staff and third parties in order to maintain awareness of potential and actual securities litigation affecting the SIB portfolios.
- Make decisions on whether to pursue direct litigation and/or lead plaintiff status on cases exceeding policy thresholds for passive participation.
- Select third party litigation firms when deemed appropriate.
- Perform other activities related to this charter as requested by the SIB.
- Review and assess the adequacy of the Committee charter annually, requesting the SIB approval for proposed changes.
- Confirm annually the review of all responsibilities outlined in this charter.

DATE OF CREATION OF COMMITTEE AMENDMENTS: February 16, 2018

DATE SECURITIES LITIGATION COMMITTEE CHARTER ADOPTED AND APPROVED: April 27, 2018

REVISED: March 24, 2023

Confidential materials will be sent to committee members
via a secure link.

MEMORANDUM

TO: SIB Securities Litigation Committee
FROM: Ryan K. Skor, CFO/COO
DATE: September 19, 2024
RE: Securities Litigation Monitoring Report

Attached you will find the August 2024 Status Report from Financial Recovery Technologies (FRT) summarizing the ongoing securities litigation proceedings FRT is currently administering on behalf of the North Dakota State Investment Board.

Additionally, with the onboarding of the two securities litigation firms approved by the committee, we have begun receiving periodic monitoring reports of our holdings from each firm. RIO staff is in the process of developing a reconciliation process to ensure that any discrepancies between monitoring reports is investigated. This will provide additional assurance that all potential claims are being addressed appropriately. We will plan to provide a report to the committee at the March 2025 meeting regarding these processes and any significant discrepancies.

Committee Action Requested: Information only

Status Report

Settled Class Action - Claim Status Summary						
Status	# Cases	Settlement Fund	# Claims	Total Recognized Loss	Pro Rata Shares	\$ Recovered
Newly Filed	3	\$113,700,000	9	\$32,503	-	-
Newly Paid	11	\$443,000,000	20	\$1,812,667	-	\$35,002
Previously Filed	35	\$3,157,266,693	75	\$2,308,218	191,320	-
Total	49	\$3,713,966,693	104	\$4,153,389	191,320	\$35,002

Antitrust - Claim Status Summary					
Status	# Cases	Settlement Fund	# Claims		\$ Recovered
Previously Filed	12	\$3,254,299,000	190		-
Total	12	\$3,254,299,000	190		\$0

Passive Group Litigation - Claim Status Summary						
Status	# Cases	Settlement Fund	# Claims	FRT Damages	Damaged Shares	\$ Recovered
Previously Registered	2	-	6	\$0	-	-
Total	2	\$0	6	\$0	0	\$0

Opt-In Monitoring - Participation Status Summary						
Status	# Cases		# Registrations	FRT Damages	Damaged Shares	\$ Recovered
Previously Registered	6		36	\$7,758,463	-	-
Total	6		36	\$7,758,463	0	\$0

Opt-Out Monitoring - Participation Status Summary					
Status	# Cases		# Accounts	FRT Damages	\$ Recovered
Newly Paid	1		6	\$0	\$30,000
Total	1		6	\$0	\$30,000

Status Report

Settled Class Action - Newly Filed Claims

Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	Total Recognized Loss	Pro Rata Shares	Est Pay Date
ASTEC INDUSTRIES INC	2	8/8/2024	\$13,700,000	7/25/2016 1/18/2019	\$0	-	11/30/2025
HANMI FINL CORP	2	8/7/2024	\$3,000,000	8/8/2018 7/29/2020	\$2,361	-	11/30/2025
PERRIGO COMPANY PLC	5	8/26/2024	\$97,000,000	12/17/1991 7/31/2017	\$30,142	-	11/30/2025

Settled Class Action - Newly Paid Claims

Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	\$ Recovered	FRT Fees	Net to Client
ALNYLAM PHARMACEUTICALS INC	1	3/17/2022	\$7,000,000	11/12/2017 4/17/2020	\$2,805	\$0	\$2,805
ALTRIA GROUP INC	1	4/5/2022	\$90,000,000	10/24/2018 6/30/2020	\$62	\$0	\$62
BIOMARIN PHARMACEUTICAL INC	1	10/30/2023	\$39,000,000	3/2/2020 11/16/2020	\$394	\$0	\$394
CENTURYLINK INC	1	8/13/2021	\$55,000,000	2/28/2013 10/10/2017	\$28	\$0	\$28
COGNIZANT TECHNOLOGY SOLUTIONS CORP,	1	1/28/2022	\$95,000,000	2/26/2015 12/28/2016	\$125	\$0	\$125
COVETRUS INC	1	12/3/2022	\$35,000,000	2/8/2019 11/8/2019	\$42	\$0	\$42
DAIMLER AG,	1	2/7/2021	\$19,000,000	2/21/2012 7/20/2016	\$843	\$0	\$843
HD SUPPLY HOLDINGS, INC.,	5	7/18/2020	\$50,000,000	11/8/2016 9/1/2017	\$226	\$0	\$226
INVESTMENT TECHNOLOGY GROUP, INC.,	1	4/4/2019	\$18,000,000	2/27/2011 10/30/2015	\$26	\$0	\$26
NAVIENT CORP	1	4/13/2022	\$35,000,000	7/1/1987 11/16/2021	\$452	\$0	\$452
PG&E Corporation	6	4/16/2020	-	4/29/2015 7/1/2020	\$30,000	\$6,235	\$23,765

Settled Class Action - Previously Filed Claims

Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	Total Recognized Loss	Pro Rata Shares	Est Pay Date
AEGEAN MARINE PETROLEUM NETWORK INC.,	1	10/22/2022	\$29,800,000	2/26/2014 2/4/2019	\$0	-	1/25/2025
ALEXION PHARMACEUTICALS INC	1	12/15/2023	\$125,000,000	1/29/2014 8/23/2017	\$315,920	-	3/31/2025
Alphabet Inc	8	7/25/2024	\$350,000,000	4/22/2018 7/26/2019	\$0	-	10/31/2025
BANCO BRADESCO S.A.,	2	12/21/2019	\$14,500,000	8/7/2014 10/25/2016	\$65,437	-	2/16/2025
BAYERISCHE MOTOREN WERKE AG (BMW) Fair Fund	4	5/10/2024	\$18,000,000	4/11/2016 2/28/2023	\$76,164	-	8/31/2025
CANADIAN TAHOE RESOURCES INC	1	2/2/2024	\$19,500,000	5/23/2017 5/27/2019	\$0	-	4/30/2025
CARDINAL HEALTH INC	1	7/24/2023	\$109,000,000	3/1/2015 7/31/2018	\$372,626	-	10/31/2024
COVIA HOLDINGS CORPORATION	1	3/11/2024	\$6,000,000	3/9/2016 9/28/2020	\$3,026	-	6/30/2025
EAGLE BANCORP INC Fair Fund	1	10/1/2024	\$13,350,493	3/1/2015 10/15/2019	-	-	1/31/2026
ENVISION HEALTHCARE CORP	1	4/8/2024	\$177,500,000	6/11/1998 1/29/2018	\$0	-	7/31/2025
EXELON CORP	1	9/28/2023	\$173,000,000	2/7/2019 1/28/2020	\$87,041	-	12/31/2024
FACEBOOK Fair Fund	7	11/30/2022	\$100,000,000	1/27/2016 6/15/2018	\$0	-	1/25/2025

Status Report

Settled Class Action - Previously Filed Claims								
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period		Total Recognized Loss	Pro Rata Shares	Est Pay Date
FINISAR CORPORATION	1	2/26/2021	\$6,800,000	12/1/2010	6/7/2011	\$1,843	-	10/21/2024
GENERAL ELECTRIC CO Fair Fund	5	10/19/2022	\$200,000,000	10/15/2015	4/16/2018	\$65,038	-	1/25/2025
GEO GROUP INC	2	11/28/2023	\$3,000,000	11/8/2018	8/5/2020	\$20,246	-	2/28/2025
HP INC	1	8/14/2023	\$10,500,000	2/22/2017	12/31/2019	\$212,739	-	11/30/2024
INTERFACE INC.	1	9/13/2023	\$7,500,000	5/11/2016	12/24/2020	\$4,683	-	12/31/2024
MALLINCKRODT PLC,	1	10/27/2022	\$65,750,000	10/5/2015	2/2/2018	\$83,392	-	1/25/2025
MCKESSON CORP	1	5/10/2023	\$141,000,000	10/23/2013	1/25/2017	\$0	-	2/27/2025
Mylan N.V. Fair Fund	3	4/25/2022	\$30,000,000	3/1/2015	11/30/2016	\$19,463	-	12/21/2024
NUTANIX INC	1	9/6/2023	\$71,000,000	11/30/2017	8/28/2019	\$0	-	12/31/2024
OSI SYSTEMS, INC.,	1	5/11/2022	\$12,500,000	8/20/2013	5/2/2018	-	-	2/21/2025
PELTON INTERACTIVE INC	6	5/21/2024	\$13,950,000	9/10/2020	8/2/2021	\$185,891	-	8/31/2025
PROASSURANCE CORP	1	11/30/2023	\$28,000,000	8/7/2018	8/5/2020	\$72,230	-	2/28/2025
PRUDENTIAL FINANCIAL INC	1	7/30/2024	\$35,000,000	6/4/2019	10/30/2019	\$0	-	10/31/2025
RITE AID (Walgreens)	1	2/12/2024	\$192,500,000	10/19/2016	9/26/2017	\$0	-	11/27/2025
RYANAIR HOLDINGS PLC	1	10/17/2023	\$5,000,000	5/29/2017	12/28/2018	\$0	-	1/31/2025
SONUS NETWORKS INC	1	3/15/2024	\$4,500,000	1/7/2015	6/22/2015	\$0	-	6/30/2025
STERICYCLE, INC.,	4	8/7/2019	\$45,000,000	2/6/2013	5/22/2018	\$338,669	-	10/21/2024
TAHOE RESOURCES INC.	1	2/1/2024	\$19,500,000	4/2/2013	11/22/2017	\$0	-	5/31/2025
TEXTRON INC	1	1/20/2023	\$7,900,000	1/30/2018	3/6/2019	\$8,538	-	10/27/2024
THE ALLSTATE CORPORATION	1	2/8/2024	\$90,000,000	10/28/2014	11/1/2015	\$213,083	-	5/31/2025
TORONTO-DOMINION BANK	3	5/13/2024	\$16,216,200	12/2/2015	3/9/2017	-	191,320	12/4/2024
VIATRIS INC.	7	7/2/2024	\$16,000,000	2/23/1973	2/28/2022	\$0	-	10/31/2025
WELLS FARGO & Company	1	10/5/2023	\$1,000,000,000	2/1/2018	6/9/2020	\$162,189	-	1/31/2025

Antitrust - Previously Filed Claims					
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	
(EURIBOR) Sullivan v. Barclays PLC et al.	10	8/1/2018	\$309,000,000	6/1/2005	3/31/2011
(EURIBOR) Sullivan v. Barclays PLC et al.	24	7/31/2019	\$182,500,000	6/1/2005	3/31/2011
(EURIBOR) Sullivan v. Barclays PLC et al.	24	12/15/2022	\$55,000,000	6/1/2005	3/31/2011
(EURIBOR) Sullivan v. Barclays PLC et al.	24	11/30/2023	\$105,000,000	6/1/2005	3/31/2011
European Government Bonds	16	3/25/2024	\$27,000,000	1/1/2005	12/31/2016

Status Report

Antitrust - Previously Filed Claims

Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	
In re: Foreign Exchange Benchmark Rates	39	5/16/2018	\$2,310,275,000	1/1/2003	12/15/2015
LIBOR Bondholders	14	12/28/2020	\$68,625,000	8/1/2007	5/31/2010
LIBOR Bondholders	14	2/27/2023	\$1,749,000	8/1/2007	5/31/2010
Mexican Government Bonds	11	11/29/2021	\$20,700,000	1/1/2006	4/19/2017
SSA Bonds	10	4/16/2021	\$95,500,000	1/1/2005	3/6/2019
Sterling LIBOR	2	1/16/2024	\$5,000,000	1/1/2005	12/31/2010
Swiss Franc LIBOR	2	10/27/2023	\$73,950,000	1/1/2001	12/31/2011

Passive Group Litigation - Previously Registered Claims

Case Name	# Claims	Participation Deadline	Settlement Fund	Class Period		FRT Damages	Damaged Shares
BHP Billiton (PFM & Maurice Blackburn)	3	5/30/2024	-	8/7/2012	12/1/2015	\$0	-
James Hardie Industries plc (Echo Law)	3	7/25/2024	-	2/6/2022	11/7/2022	\$0	-

Opt-In Monitoring - Previously Registered

Case Name	# Registrations	Participation Deadline	Class Period		FRT Damages	Damaged Shares
Bayer AG (DRRT)	6	11/19/2021	9/29/2009	12/31/2019	\$225,938	-
Daimler AG (Robins Geller/Nieding & Barth)	6	11/30/2021	7/10/2012	10/15/2019	\$1,680,520	-
Danske Bank (Grant & Eisenhofer/DRRT)	2	9/11/2020	9/5/2017	5/14/2019	\$679,164	-
Koninklijke Philips N.V. ("Philips") (European Investors-VEB)	7		1/1/2015	6/30/2022	\$3,552,097	-
Nissan Motor Co. Ltd. (KTMC)	6	5/25/2020	6/1/2011	12/31/2019	\$1,541,037	-
VOLKSWAGEN AG/ PORSCHE (Grant & Eisenhofer)	9	12/31/2018	12/31/2007	12/31/2015	\$79,708	-

Opt-Out Monitoring - Newly Paid * For multiple currencies see portal

Case Name	# Registrations	Participation Deadline	Class Period		\$ Recovered *	FRT Fees *	Net to Client *
PG&E Corporation and Pacific Gas and Electric Company	6	4/16/2020	4/29/2015	7/1/2020	\$30,000	\$6,235	\$23,765